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# **Substituent effects on the rate of formation of azomethine ylides. A computational investigation†**

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The effect of substituents on the rate of conrotatory thermal cleavage of aziridine has been studied at the MP2(Full)/6-311++G(d,p)//MP2(Full)/6-31+G(d) level and also using SCS-MP2 methodology. While the parent compound has a high free energy of activation  $(194.6 \text{ kJ mol}^{-1})$ , this value could be drastically lowered by substituent effects. Anionic species were found to be particularly effective in increasing the calculated reaction rate. The potential utility of this approach in 1,3-dipolar cycloaddition is discussed.

## **Introduction**

The click chemistry concept introduced by the Sharpless group**<sup>1</sup>** and its implementations within the strict requirements for such reactions resulted in a substantial body of productive research. With the discovery of the Cu(I)-catalyzed 1,3-dipolar cycloaddition of alkynes or alkenes with azides under mild conditions,**<sup>2</sup>** the vast majority of these studies utilized this reaction. For biological systems for which the presence of Cu(I) would present a potential toxicity liability, Bertozzi**<sup>3</sup>** developed an innovative approach that was free of metal ions by taking advantage of relief of strain energy in the transition state when the dipolarophile is a cyclooctyne.

Azomethine ylides occupy an important place in synthetic organic chemistry.**<sup>4</sup>** In addition to their extensive use in 1,3 dipolar cycloaddition,**<sup>5</sup>** they have very recently been employed as intermediates in isomerization,**<sup>6</sup>** dimerization,**<sup>7</sup>** and threecomponent coupling.**<sup>8</sup>** As synthetic challenges become greater due to molecular complexity or sensitivity to elevated temperature, it is useful to have approaches available that increase reaction rate. For reactions in which azomethine formation is the rate-determining step this amounts to lowering the free energy of activation to an acceptable level. This project consisted of an investigation of the effect of substituents on the free energy of activation of a particularly useful source of azomethine ylides, the thermal, conrotatory cleavage of aziridines. It was spurred by previous studies of the effect of substituents on the rate of nucleophilic attack on 3- and 4-membered rings, reactions that also involve the generation of a dipolar transition state.**<sup>9</sup>**

We were intrigued by the possibility that 1,3-dipolar cycloaddition chemistry could be conducted under mild conditions where the 1,3-dipole would be an azomethine ylide produced from substituted aziridines as the dipolarophiles. (Scheme 1.) The literature indicated that such reactions require elevated temperatures and extended reaction times.**<sup>10</sup>** Assuming that formation of the azomethine ylide is the rate-determining step (*vide infra*), our approach to achieving a reasonable reaction rate was to computationally examine substituent effects on C–C bond cleavage of the aziridine. This thermal reaction 4-electron electrocyclic reaction occurs with conrotation.**<sup>11</sup>** Before this chemistry can be effectively studied, those substituted aziridines that lack a  $C_2$  axis have two unique modes of conrotation that will differ in energy. Preference for one of these modes, outward or inward, by a substituent, is termed torquoselectivity.**<sup>12</sup>** The overall rate constant for a given aziridine is a function of the rate constants for the outward and inward conrotatory modes. Accordingly, the first step in this investigation was determination of the rates of these distinctive modes; we recently reported**<sup>13</sup>** the results a study of a large number of substituted aziridines that found that outward rotation was mostly favored by substituents that were electronegative or anionic, while electropositive or cationic groups favored inward rotation. If the free energies of activation of one of the two conrotatory modes is less than 17.1 kJ mol<sup>-1</sup> that of the other at 298 K, more than 99.9% of the reaction proceeds through this pathway as demonstrated by transition state theory.**<sup>14</sup>**



**Scheme 1** Thermolysis of a substituted aziridine.

With a view to discovering reactions that embrace even biological systems, the maximum free energy of activation tolerated was 95.2 kJ mol<sup>-1</sup>. This corresponds to a unimolecular reaction that is

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<sup>†</sup> Electronic supplementary information (ESI) available: Energies of the reactants, transition states, their Cartesian coordinates, the transition state unique imaginary frequencies, Wiberg Bond Indices for haloaziridines **2–13**, and their transition state atomic distances. See DOI: 10.1039/c1ob05588g

complete (10 half-lives) in a 14 h period (overnight) at  $298$  K. While previous reports have found the formation of the azomethine ylide to be the slow step in 1,3-dipolar cycloaddition chemistry, it is conceivable that substituent effects would change the relative rates of these mechanistic steps; thus, the rates of azomethine ylides with dipolarophiles ethyne and ethene (if proton abstraction from ethyne were possible) were studied. When an aziridine that lacks a plane of symmetry undergoes thermal C–C bond cleavage, there are two conceivable symmetry-allowed conrotatory modes; torquoselectivity**<sup>8</sup>** is a measure of preference for the clockwise or counterclockwise mode of rotation (alternatively designated outward or inward with respect to the cleaving heterocyclic ring) as the C–C bond is thermally ruptured. For such an aziridine, these rotational modes have potentially different energy requirements that must be evaluated to determine their contributions to the overall rate of the reaction. We recently reported**<sup>8</sup>** the results of a study of the torquoselectivity**<sup>9</sup>** of a large number of substituted aziridines. Outward rotation of the substituent was largely favored for these aziridines. Substituents that followed an outward rotational modality tended to be electronegative or anionic, while electropositive or cationic groups favored inward rotation.

In the present investigation the rates of reaction of sixty one new substituted aziridines, chosen on the basis of the previous results**<sup>13</sup>** were computed. Gratifyingly, this extensive research led to the discovery of an aziridine (**106**) that meets our reactivity criterion. It is not unreasonable to speculate that future substituent modifications will also result in acceptable reactivity.

# **Methods and computational details**

*Ab initio* calculations were performed at the MP2(Full)/6-  $311++G(d,p)/MP2(Full)/6-31+G(d)$  level by means of the Gaussian 03 suite of programs**<sup>15</sup>** for determination of energies and the NBO charges<sup>16,17</sup> (keyword, pop = SaveNBOs). For comparison, the recently introduced SCS-MP2 method**<sup>18</sup>** was employed. Using this spin component scaled approach,  $E_{SCS-MP2}$  =  $E_{\text{HF}}$  + 1.20 $E_{\alpha\beta}$  + 0.333( $E_{\alpha\alpha}$  +  $E_{\beta\beta}$ ). These energies have been found to be more accurate than those calculated by MP2.**18h** For the rate with **106** that proved computationally excessively expensive using theMP2(Full) calculations, an acceptably accurate result at considerably lower expense was obtained using the G3(MP2) method.**<sup>19</sup>** A few calculations were performed (with similar compounds, *i.e.*, **18**, **84**, and **89**, to confirm the acceptable agreement of the results of this method with MP2(Full) and SCS-MP2. Gas phase calculations were deemed to be sufficient for calculation of relative energies since using a water solvent model (SCI-PCM**20,21**) aziridine and 2-fluoroaziridine produced only modest changes in relative rates  $(<25 \text{ kJ mol}^{-1})$ . The minor effect of solvent on the rate azomethine ylide formation has also been observed experimentally.**5f** A scaling factor of 0.9646 was used<sup>22</sup> for the thermal correction to the computed energies at a reaction temperature of 298.15 K. Criteria for finding the transition states and ground states were calculation of one and zero imaginary frequencies, respectively. GaussView 3.09**<sup>23</sup>** was used for animation of the sole imaginary frequency and IRC calculations were used to confirm identification of the transition states. Rate differences between invertomers were found to be insignificant. Using 2-substituents such as  $CH<sub>3</sub>CO$  and  $OCOCH<sub>3</sub>$  for which conformational isomerism**<sup>24</sup>** could affect the calculations, it was possible to determine that the energy differences were <4 kJ mol-<sup>1</sup> . These differences were judged too small to justify the expense of an exhaustive study of each ground and corresponding transition state for all pertinent compounds. Relative reaction rates were obtained from transition state theory.**<sup>14</sup>** Strain energies were estimated using the method of Dudev and Lim.**<sup>25</sup>**

# **Results and discussion**

A question arises in virtually all computational investigations. If one is to use these findings as guidance in the laboratory, how accurate are the results? Fortunately, experimental data are available.**<sup>26</sup>** Given our computational resources we were able to investigate one of these large aziridines ( $R<sup>1</sup> = C<sub>6</sub>H<sub>11</sub>$ ,  $R<sup>2</sup> = CN$ ) for which kinetic data has been published.**26a** The experimental study was conducted at 120*◦* C in toluene. Using the IEFPCM solvent model,<sup>20</sup>  $T = 393$  K and no PV correction for the change of standard state from the gas to liquid phase since the number of reactant and product species in the rate-determining step was invariant, the  $\Delta G_{\text{act}}$  from the MP2 calculation was 127.6 kJ mol<sup>-1</sup>; however, SCS-MP2 gave  $133.0 \text{ kJ}$  mol<sup>-1</sup> in stunning agreement with the reported value of  $133.9 \text{ kJ}$  mol<sup>-1</sup>. Since this expensive calculation is only one verification of the methodology, additional calculations are planned to further substantiate these findings.

For this discussion the  $\Delta G_{\text{act}}$  values calculated by MP2 instead of SCS-MP2 methodology will be used; similar qualitative conclusions can be reached using the alternative method. We began with an analysis of the behavior of the relatively simple, monatomic halogen substituents with a view to gain insights into the results for thermolysis of the more complex mono- and polysubstitued aziridines. Due to space limitations, only the conclusions of these computations will be presented; however the interested reader is referred to the ESI† to obtain the pertinent data. It was found that the reaction rate is decreased by the *gem*-difluoro effect,**27,28** and the accumulation of positive charge on the ring carbons. Geminal substitution requires one of the substituents to rotate with unfavorable torquoselectivity, while *trans* stereochemistry is desirable so that both substituents may rotate in the most energetically favorable manner. Increased rates relative to **1** are associated with polarizable substituents. Relief of ring strain and electrostatic effects do not contribute to stabilization of the transition state.

# **(a) Monosubstitution**

Having obtained some mechanistic insights as the result of determining the substituent effects of haloaziridines 2–13, the  $\Delta G_{\text{act}}$ values of a series of monosubstituted aziridines were calculated. The present study adds a significant number of compounds to the original work.**<sup>13</sup>** These are found presented in Table 1 in order of increasing  $\Delta G_{\text{act}}$ . Whenever the torquoselective modes differed by less than 17.1 kJ mol<sup>-1</sup> resulting in more than 0.1% of the reaction calculated to proceed by means of the less favorable conrotatory path, this  $\Delta G_{\text{act}}$  is given in parentheses. All of these compounds were calculated to thermally cleave faster than **1**. While it is readily apparent that none of these substituents is capable of lowering the free energy of activation barrier below the soughtafter 95.2 kJ mol-<sup>1</sup> , organoborane **14** calculated previously**<sup>13</sup>** was found to be the most reactive of the compounds examined. This

Table 1 Free energies of activation in kJ mol<sup>-1</sup> for thermal cleavage of  $C<sub>2</sub>$ -monosubstitued aziridines. See text for details

Aziridine	$\mathbb{R}^2$	$\Delta G_{\text{act}} \text{MP2}$	$\Delta G_{\text{act}}$ SCS-MP2
14	BH <sub>2</sub>	97.3	100.3
15	Li-	133.3 (134.8)	130.4
16	$AcO-$	137.5	143.7
17	$F, C=CF-$	150.6	134.5
18	Ph	151.0	165.8
19	$o$ -FC <sub>6</sub> H <sub>4</sub>	151.5	158.5
20	$(E)$ -(CHO)CH=CH-	156.0	164.3
21	$p$ -NO <sub>2</sub> C <sub>6</sub> H <sub>4</sub> -	157.4	165.3
22	NH <sub>2</sub>	157.7	165.0
23	CH, CO	159.5 (161.7)	161.8
24	OCH,	160.8	169.4
25	SCH <sub>3</sub>	161.6	170.1
26	$N(CH_3)$	161.9	170.9
27	$HC = C$	162.5	170.9
28	$H, C=CH-$	163.4	172.5
29	NHCOCH <sub>3</sub>	163.8	171.0
30	SO <sub>3</sub>	163.9	175.9
31	$FC=CC$	164.2	172.6
32	<b>SH</b>	164.2	173.2
33	<b>COOH</b>	165.3	170.9
34	<b>CN</b>	167.2	185.5
35	<b>CHO</b>	167.4 (169.2)	162.9
36	OH	167.7 (179.3)	170.4
37	tBu	180.0 (199.0)	187.1
38	SO <sub>3</sub> H	181.0 (182.9)	188.1
39	SiH <sub>3</sub>	182.3 (182.3)	188.6
39	SiH <sub>3</sub>	182.3 (182.3)	188.6
40	COOCH <sub>3</sub>	182.7 (186.4)	191.7
41	Na	183.8 (196.6)	180.9
42	$Si(CH_3)$	184.1 (184.3)	190.5
43	CH <sub>3</sub>	184.7 (202.2)	192.2
44	$COO-$	186.5	191.8
45	CF <sub>3</sub>	187.0 (193.9)	193.3
46	CH <sub>2</sub> F	188.4 (189.6)	191.3

result may be rationalized by the fact that the electropositive boron atom experiences favorable electrostatic interactions with the dipole created by the ring atoms in the transition state. The polarizability**<sup>29</sup>** of the SH group (7.73) is considerably higher than that of OH (1.52), yet the  $\Delta G_{\text{act}}$  values for the 2-thiol 32 and 2-alcohol  $36$  are similar: 164.2 and 167.7 kJ mol<sup>-1</sup>, respectively. A possible explanation for these chalcogen substituents that favor outward rotation is that the stabilization due to the electron-releasing resonance effect of oxygen compensates for its electron-withdrawing inductive effect offsets its low polarizability. Comparison of **43**, **28** and **27** demonstrates that the free energy barrier decreases as unsaturation increases, although an ethynyl substituent is only marginally more effective than an ethenyl group. Electron-withdrawing groups attached to ethylenic positions (**20** and **17**) further increase reaction rate, while substitution of a fluoro group in **31** or replacement of the terminal carbon by nitrogen (**34**) slightly retards the rate relative to **27**. Attachment of a phenyl ring in 18 effectively lowers  $\Delta G_{\text{act}}$ . An *ortho*-fluoro (**19**) or a *para*-nitro group (**21**) do not lead to large perturbations. Replacement of hydrogen by methyl as in primary amine **22** *vs*. tertiary amine **26** or with chalcogen substituents, alcohol **35** *vs*. ether **24** or thiol **32** *vs*. thioether **25**, produces only a minor change in  $\Delta G_{\text{act}}$ . The outcome produced by these four substituents is rather constant. Fluorine substitution at a saturated position produces a slight transition state destabilization (compare **45** and **46** to **43**) while methyl substitution (**37** and **43**) also results in trivial stabilization. Substitution of silicon for carbon (**37** and **42**) is similarly uneventful. Acetate **16**, however, is considerably more reactive than the isomeric methoxycarbonyl derivative **40**. Since both groups are electron-withdrawing by means of inductive effects,**<sup>30</sup>** it is not unreasonable to assume that an electron-releasing resonance effect by the acetate oxygen is responsible. Ketone **23** is more reactive than aldehyde **35** perhaps due to the increased polarizability of the methyl group. The most sluggish organosulfur compound is sulfonic acid 38 whose  $\Delta G_{\text{act}}$  is lowered considerably when converted to its conjugate base **30** increasing electronwithdrawing ability. Contrarily, abstraction of a proton from amino acid **33** gives rise to a considerable rate reduction in **44**. The ground state **44** would tend to be more stable relative to its transition state than **33**; a smaller difference is to be expected for interaction of the ground state N–H group with the larger sulfonate group of **30** relative to its transition state.

#### **(b) Polysubstitution**

The most reactive polysubstituted compound of Table 2, **47**, has a free energy of activation that is  $ca$ . 30 kJ mol<sup>-1</sup> too high to reach the stated goal of this research project. Clearly the outward rotating phenyl groups of this *trans* diastereomer are capable of providing transition state stabilization by means of conjugation as was the case for monosubstituted **18** (see Fig. 1.) As has been observed for the haloaziridines, the *trans* diastereomer is invariably more reactive than the *cis* (47 > 54; 50 > 56; 67 > 68) since unfavorable inward rotation is required by one group by one group of the *cis* isomer; however, the reactivity of *bis*-trifluoromethyl compounds **62** and **63** are virtually identical due to the observation that inward and outward rotational modes for this substituent differ by only 7 kJ mol-<sup>1</sup> . The role of electron-withdrawing group acceleration may be further appreciated by the result with *trans*-dialdehyde **48**. Replacement of one phenyl group of **47** by a vinyl (**49**) or chloro (**50**) group led to lower reactivity; it is noteworthy that a chloro group is about as effective as a double bond. Addition of a second *trans* substituent other than Ph can result in marked decreases in  $\Delta G_{\text{act}}$ , as, for example, by addition of CHO, AcO and CF3 (Tables 4 and 5, see Fig. 2). Geminal diphenyl substitution in **65** led to rate inhibition. This is due to the inability of both phenyl groups to achieve conjugation to the developing azomethine ylide, coupled with the necessity that one of the substituents move in the unfavorable inward direction.



**Fig. 1** Transition states for **47** and **18**.

The  $\Delta G_{\text{act}}$  lowering effect of vinylic conjugation (55.2 kJ mol<sup>-1</sup>) may be appreciated by comparing trifluoro compound **6** to **57**.

**Table 2** Free energies of activation of polysubstitued aziridines. See the text for details

Aziridine	R <sup>1</sup>	$\mathbb{R}^2$	R <sup>3</sup>	R <sup>4</sup>	$R^5$	$\Delta G_{\text{act}} \text{MP2}$	$\Delta G_{\text{act}}$ SCS-MP2
47	H	Ph	H	H	Ph	113.4	126.4
48	H	<b>CHO</b>	H	H	<b>CHO</b>	123.7	130.8
49	H	Ph	H	H	$H, C=CH-$	126.2	134.0
50	H	Ph	H	H	C <sub>1</sub>	128.8	147.3
51	H	AcO	H	H	AcO	131.7	140.9
52	H	$p$ -NO <sub>2</sub> C <sub>6</sub> H <sub>4</sub>	H	H	Cl	138.4	144.7
53	H	Ph	H	H	F	141.1	149.7
54	H	Ph	H	Ph	H	142.3	151.1
55	H	Ph	H	Cl	Cl	144.3	152.9
56	H	Ph	H	Cl	$H_{\rm}$	146.9 (161.0)	157.0
57	H	$H, C=CH-$	$\mathbf F$	F	F	150.6	173.5
58	H	$MeSO2$ -	H	H	$H, C=CH-$	155.1	163.2
59	$H_{\rm}$	F	H	$H_{\rm}$	OMe	159.3	168.7
60	$H_{\rm}$	F	H	H	OH	160.7(167.8)	168.7
61	$H_{\rm}$	$H_2C=CH$	$H_2C=CH$	H	H	162.2	157.4
62	H	CF <sub>3</sub>	Η	CF <sub>3</sub>	H	165.0	174.0
63	H	CF <sub>3</sub>	H	H	CF <sub>3</sub>	165.3(178.5)	173.8
64	H	FCH <sub>2</sub>	H	FCH <sub>2</sub>	H	166.8	174.5
65	H	Ph	Ph	H	H	169.0	178.7
66	H	Ph	H	F	F	176.4	182.5
67	H	Me	H	H	Me	181.2	191.1
68	H	Me	H	Me	H	191.0	210.0



**Fig. 2** Transition states for *trans*-diacetate **51** and monoacetate **16**.

Similarly, aromatic conjugation in difluorophenyl derivative in **66** produces a compound that is more reactive than **3**, and replacement of one of the Ph groups of **47** with vinyl (**49**) increase  $\Delta G_{\text{act}}$  by a mere 12.8 kJ mol<sup>-1</sup>.

#### **(c) Substitution at nitrogen**

The consequences of nitrogen substitution were studied next (Table 3.) With the exception of the N–CN derivative, all compounds were more reactive than **1**, but too unreactive to satisfy our requirements. Electron-releasing effects of substituents attached to nitrogen are able to contribute to the dispersal of the partial positive charge on nitrogen in the transition state. Generally the energy of the transition state tended to be less

sensitive to substitution at nitrogen than at the carbon positions. The benzyl protecting group found in **75** that is often employed synthetically as a protecting group produced virtually the same calculated reactivity as the computationally friendly methyl group (**74**.)

#### **(d) Anionic substituents**

Since the uncharged substituted aziridines investigated to this point did not fall below the target  $\Delta G_{\text{act}}$ , anionic species were studied. The approximation given above that gas phase computations are acceptable in solution is no longer valid since anions are likely to be intimately associated with cations except in polar aprotic solvents such as DMSO. It is also possible that 2-azaallylic anions are formed more rapidly than the isomeric azomethine ylides for N-deprotonated species. At the level of calculation employed in this research effort, we have been unable to find evidence for formation of 2-azaallylic anions in the gas phase or in DMSO. In spite of the realization that computational results with anions in the gas phase would not be directly transferable to solution work in the laboratory, they were deemed theoretically useful to provide information as the sensitivity of the thermolysis reaction to electronic effects. The results are presented in

**Table 3** Free energies of activation of N-substituted aziridines. See text for details

Aziridine	$\mathbb{R}^1$	$\mathbb{R}^2$	$\mathbb{R}^3$	R <sup>4</sup>	$R^5$	$\Delta G_{\text{act}} \text{MP2}$	$\Delta G_{\text{act}}$ SCS-MP2
69	Me	$H_2C=CH$	H	H	H	151.7(165.7)	172.8
70	Me		н	Н	Н	163.2	170.7
71	Ph	н	н	H	Н	167.1	174.0
72			н	Н	Н	174.7	184.9
73	COOCH <sub>3</sub>		Н	H	н	178.2	191.6
74	Me	н	Н	Н	Н	182.9	191.1
75	Bn	Н	Н	Н	Н	184.6	192.0
76	OН	Н	н	Н	Н	193.1	197.1
77		Н	н	H	Н	179.8	204.5
78	СN	Н	Н	Н	Н	204.9	209.9

**Table 4** Free energies of activation of substituted aziridines monoanions. See text for details

Aziridine	$\mathbb{R}^1$	$\mathbb{R}^2$	R <sup>3</sup>	$\mathbb{R}^4$	$R^5$	$\Delta G_{\text{act}} \text{MP2}$	$\Delta G_{\text{act}}$ SCS-MP2
79	H	$NH-$	H	H	H	43.5	75.7
80	H	$O^-$	$H_{\rm}$	H	$\, {\rm H}$	47.5	46.7
81		Ph	H	H	Ph	52.4	36.6
82		Ph	H	H	H	73.2	109.9
83		$p$ -FC <sub>6</sub> H <sub>4</sub>	$H_{\rm}$	H	H	75.0	81.1
84		<b>CN</b>	H	H	H	87.2	91.8
85		CF <sub>3</sub>	H	H	H	101.7	107.2
86		H	H	H	H	103.6	107.9
87	H	$\mathbf{S}^-$	H	H	H	115.7	123.1
88	$O^{-}$	F	H	H	H	117.2	128.7
89	$COO-$	Ph	H	H	$H_{\rm}$	120.2	126.5
90	$COO-$	$p$ -OMe $C_6H_4$	H	H	H	120.2	127.0
91	$COO-$	$o$ - $FC6H4$	H	H	H	121.9	149.2
92	$COO-$	H	H	H	H	147.8	152.4
93	$O^-$	$H_2C=CH$	H	H	H	148.0	148.6
94	$O^-$	Ph	H	H	H	150.2	152.0
95	$O^{-}$	$\boldsymbol{\mathrm{H}}$	$H_{\rm}$	H	H	150.7	156.0
96	$COO-$	F	H	H	H	152.7	123.3
97	$p - C_6H_4O^-$	$\mathbf H$	H	H	H	153.3	159.4
98	H	$o$ -PhO <sup>-</sup>	H	H	Cl	159.1	168.5
99		F	${\rm F}$	H	H	161.9	161.0
100	H	$SO_3^-$	H	H	H	163.9	172.4
101	CH(COCH <sub>3</sub> )COO-		H	H	H	176.3	182.5
102	$SO_3^-$	$_{\rm H}^{\rm H}$	H	H	H	180.1	187.1
103	COOMe		H	H	H	184.4	183.6
104	H	$COO-$	H	H	$\, {\rm H}$	186.5	192.3
105		$\mathbf{F}$	$\boldsymbol{\mathrm{F}}$	F	$\mathbf F$	207.8	203.9

Table 4. Noteworthy are the effects of heteroatomic anions at  $C_2$ in **79** and **80**, as well as the rather low  $\Delta G_{\text{act}}$  results for carbamates **89–92**.

Very recently it was decided to determine whether the  $\Delta G_{\text{act}}$ values for carbamates could be augmented by taking advantage of lessons learned from *trans*-2,3-diphenyl derivative **47**, giving rise to *trans*-2,3-diphenylaziridine-1-carboxylate, **106**. G3(MP2) calculations were performed on this structure. The G3(MP2) method has the advantage of being reasonably accurate and less computationally expensive. As noted above in the section on computational methods, this result was grounded with the MP2(Full) and SCS-MP2 calculations, by comparisons with the results for **18**, **84** and **89**, compounds that are structurally similar to 106. The  $\Delta G_{\text{act}}$  values obtained were 140.0, 90.3 and  $107.0$  kJ mol<sup>-1</sup> in acceptable agreement with the results listed in Tables 1 and 4. When this computational approach was applied to 106, a  $\Delta G_{\text{act}}$  of 73.5 kJ mol<sup>-1</sup> was obtained. Not only is this  $\Delta G_{\text{act}}$  below our established threshold, but in both aqueous solution **<sup>31</sup>** and in the gas phase **<sup>32</sup>** carbamic acid has been found to be stronger than formic acid, signifying that its conjugate base is less basic than formate. This should place the newly computationally discovered compound but presently unknown compound within the range of the temperature and pH required for bioorthogonality.**<sup>3</sup>** Carbamates are susceptible to decarboxylation**<sup>32</sup>** under certain conditions, however, the product of this reaction, **81**, should be quite reactive, and could have useful applications. It should be emphasized that this discovery is strictly applicable only in the gas phase. The computational work has successfully identified a reasonable candidate compound that satisfies the  $\Delta G_{\text{act}}$  requirements; however, given the number of remaining variables, transition to the laboratory is the next logical step. A potential synthetic strategy may be envisioned.**<sup>33</sup>** Computations

to identify additional substituted aziridine synthetic targets that meet the stated requirements are underway.

#### **(e) Effect of the heteroatom**

It is useful at this juncture to examine the effect of the heteroatom on thermal C–C ring cleavage. Some years ago, Houk and coworkers**<sup>34</sup>** calculated the activation energies for a large number of 3-substituted cyclobutenes at the HF/6-31G\*//3-21G level. The free energies of activation of representative 3-substituted cyclobutenes were computed at the level of theory employed in this investigation for direct comparison to those of the corresponding aziridines (see Table 5.) The aziridines are substituted at  $C_2$  with the exception of the N-deprotonated anion.

With the exception of the anion, the cyclobutenes studied are considerably more reactive than the aziridines. This is not unreasonable since the transition state in azomethine ylide formation should require a larger energy input to effect charge separation. With the exception of the OH group, aziridine is more responsive to substituent effects than cyclobutene. This observation is doubtless due to the higher polarity of the heterocyclic transition state with accompanying greater sensitivity to substituent properties.

## **(f) 1,3-Dipolar cycloaddition**

One potential application of this method of using substituents to increase the rate of ring cleavage is in the area of click chemistry. In introducing this research effort, it was noted that the click chemistry approach has had a significant impact on the practice of synthetic organic chemistry. Click chemistry is limited to reactions that are modular, broad in scope, high-yielding, and stereospecific. Only reactions that feature innocuous, readily removed byproducts, and nonchromatographic product isolation

**Table 5** Comparison of substituent effects for 3-cyclobutenes and aziridines. See text for details

Substituent	3-Substituted cyclobutenes		Substituted aziridines		
	$\Delta G_{\rm act}/\rm kJ$ mol <sup>-1</sup>	Relative rate	$\Delta G_{\text{act}}$ /kJ mol <sup>-1</sup>	Relative rate	$k_{\text{Cvbut}}/k_{\text{Azir}}$
Η	139.1	$1.00E + 00$	194.6	$1.00E + 00$	$5.20E + 09$
F, F	172.7	1.33E-06	222.7	1.19E-05	$5.81E+11$
F	144.0	1.41E-01	176.7	$1.37E + 03$	$5.38E + 05$
CH <sub>3</sub> CO	121.2	$1.40E + 03$	159.5	$1.41E + 06$	$5.17E + 06$
Ph	111.2	$7.85E + 04$	151.0	$4.37E + 07$	$9.39E + 06$
<b>OH</b>	100.6	$5.76E + 06$	167.7	$5.16E + 04$	$5.81E+11$
BH <sub>2</sub>	42.5	$8.40E+16$	97.2	$4.43E + 26$	$3.83E + 09$
Anion	114.1	$2.42E + 04$	151.0	$8.75E+15$	1.44E-02

that can be preformed neat or with harmless or easily removed solvent are acceptable. If a sensitive functionality is present in a synthetic route, or if a biological process is being studied, these stringent conditions must be supplemented by the requirement that the reaction occur under exceedingly mild reaction conditions (< 37*◦* C and a pH between 7.0 and 8.0). Click chemistry embraces cycloadditions, nucleophilic substitution, non-aldol carbonyl chemistry and addition to unsaturated C–C bonds. In lieu of azides, one can conceive of many other dipoles that would be effective dipoles. As noted above, azomethine ylides are effective participants in 1,3-dipolar cycloaddition chemistry.**<sup>35</sup>** Of particular interest is our calculated finding of relatively rapid C–C ring cleavage of anionic species, a class of compound that in the form of metal salts have been demonstrated to be useful in 1,3-dipolar cycloaddition chemistry experimentally.**36,37** The NBO charges of transition state formed from **1** are provided in Fig. 3. If the charges on hydrogen are summed into the carbons, it is apparent that the negative charge is distributed over the heavy atoms, with each carbon having a significant negative charge  $(-0.309,$  hydrogens summed.)



**Fig. 3** NBO Charges for the product of C–C cleavage of N-deprotonated aziridine.

## **(g) Rate-determining step in 1,3-dipolar cycloaddition of substituted aziridines**

While the literature indicates that formation of an azomethine ylide from an aziridine is the slow, rate-limiting step in 1,3-dipolar cycloaddition chemistry,**2f,10c** it is essential to determine if this finding is valid for the compounds studied herein. For an acceptable reaction, neither 1,3-dipole formation or cycloaddition**<sup>38</sup>** may exceed 95.2 kJ mol<sup>-1</sup>. To determine if this chemistry might have click chemistry applications, preliminary studies were conducted for representative compounds (parent compound, **1**; two compounds, **16** and **18**, that form their azomethine ylides considerably more rapidly than **1**, a compound of intermediate reactivity **34** anion Table 6 Free energies of activation for C–C bond cleavage and cycloaddition of the resulting azomethine ylide. See text for details



*<sup>a</sup>* Reaction with ethyne. *<sup>b</sup>* Reaction with ethene.

**86** and stabilized anion **84**.) The results are provided in Table 6. In these cases, cycloaddition is the considerably more facile reaction; however, it may not be assumed from this sampling of substrates that the relative reactivities of the mechanistic steps of every reaction will obtain.

## **Conclusions**

The effects of a diverse group of substituents on the rate of conrotatory thermal cleavage of aziridines has been studied computationally. In order for the reaction to occur under conditions that are compatible with sensitive functionalities in a chemical synthesis and the study of biological systems, it was decided to search for substrates that cleave completely at room temperature in reasonable timeframe. Subsequent reactions with the generated azomethine ylide would have to occur at least as fast, in order for this chemistry to be useful. No neutral compounds examined in this study satisfied these criteria. In general, the free energy of activation was found to decrease as the electron-withdrawing ability or conjugation of a substituent attached to carbon increased. Anionic species were identified that had suitable  $\Delta G_{\text{act}}$  values, however, their basicity with the exception of the recently discovered *trans*-2,3-diphenylaziridine-1 carboxylate was too high. The additional electron pair of anionic species did not enter into the electrocyclic chemistry, and the remaining 4-electron system follows a conrotatory thermal process as demanded by the rules of conservation of orbital symmetry.**<sup>11</sup>** The use of G3MP2 methodology that has been found to produce reasonably accurate results with significantly lowered computation cost resulted in a reaction rate for*trans*-2,3-diphenyl-*N*-carbamate that is fast enough to be acceptable by the criterion set forth in this investigation.

Computations are continuing to find substituted aziridine anions that cleave with acceptable rates yet have low basicity. The

ultimate test of our results is the successful deployment of this compound and those suggested by its structure in the laboratory.

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